

# Interplay between Optimal Control and Reinforcement Learning for Agile Locomotion and Dexterous Manipulation in Robotics

# **F. Schramm**<sup>1,2,3</sup> N. Perrin-Gilbert<sup>3</sup> J. Carpentier<sup>1,2</sup>

<sup>1</sup>Inria Paris, France <sup>2</sup>Département d'informatique de l'ENS, PSL Research University, France <sup>3</sup>Sorbonne Université, France

#### **Context and scientific objectives**

The capability of modern robots to achieve dexterous manipulation and agile locomotion remains limited.

**Goal**: Learn new skills **efficiently** and develop **robust and versatile** controller for robotics.

## **Advanced robotic platforms**

- $\blacktriangleright$  Experimental validation in simulation  $\rightarrow$  sim-to-real gap
- Conduct experiments on state-of-the-art robotic platforms for both locomotion and manipulation
- Lay a new computational framework for robot control on **real hardware**

**Intuition**: Humans solve complex tasks **without thinking** about the movement of each of their muscles separately.

- $\rightarrow$  exploits **motor synergies** to control several degrees of freedom simultaneously like central-nervous system
- $\hookrightarrow$  serial and parallel **composition** of skills in a **hierarchic** fashion

**Optimal control (OC)**: impressive results (acrobatic motions by Boston Dynamics [1]), but online re-planning, adaptability and robustness to uncertainties or external perturbations remain very challenging.

**Reinforcement learning (RL)**: flexible and robust against uncertainties, discover complex and rich solutions in the face of contact interactions [2, 3], but methods remain data-intensive.

#### **Should we learn or optimize?** Leverage the advantages of both worlds:

- Founded on the same mathematical principles (Bellman and Hamilton-Jacobi-Bellman equations) [4]
- connect RL policies at low frequency for high-level decisions to optimal controllers operating at higher frequency for both precise and local adjustment in face of uncertainties
- learn from limited data on a physical robot and apply policy efficiently to complex robotic tasks

This thesis is an interdisciplinary project with three main scientific axes: control,

AI4IDF: Purchase of two Shadow Dexterous Humanoid Robot Hands → fine-grained manipulation tasks.





TIRREX (Technological Infrastructure for Robotics Research of Excellence): Acquisition of the humanoid robot Digit → navigate complex environments in dynamic fashion.

### **Open-source software**

perception, and experimentation on simulated and real physical robots.

# **Optimization in robotics**

.. is used for optimal design, simulation, inverse kinematics and dynamics, estimation, trajectory optimization.

Specifically : solve quadratic programs (QPs), under **robustness**, **accuracy** and **speed** requirements

$$\min_{x \in \mathbb{R}^{n}} \left\{ q(x) := \frac{1}{2} x^{T} H x + g^{T} x \right\}$$

$$\begin{cases} Ax = b \\ Cx - u \leq 0 \end{cases}$$
(1)

**QP** Applications

Kalman filtering

Legged locomotion

Friction-less contact modelling

Constrained forward-dynamics

 $H \in \mathcal{S}_+(\mathbb{R}^d)$  (i.e., symmetric positive semi-definite),  $A \in \mathbb{R}^{n_e imes d}$ ,  $C \in \mathbb{R}^{n_i imes d}$ 

## Contribution

#### Open-source software Proxsuite

- ProxQP algorithm [5] with state-of-the-art performances and working guarantees
- Solver with state-of-the-art numerical back-end for linear algebra

# **First-order trajectory optimization**

# Development of open-source software enabling the learning and deployment of complex control policies on real robotic platforms. Software packages are **BSD-licensed** and easily installable on all major OS.



Pinocchio is an open-source and highly efficient framework for **simulation**, **planning** and **control** in robotics, bio-mechanics, civil engineering:

- efficient forward and inverse dynamics
- analytical derivatives of main algorithms
- automatic differentiation (CasADi, CppAD, ...) and code generation



- fast: C++ implementation with custom Cholesky solver
- scalable: various backends for dense, sparse and matrix-free optimization
- easy-to-use: clear API, Python and Julia bindings

ProxSuite is a collection of numerically robust, precise and efficient solvers rooted in revisited primal-dual proximal algorithms. The first targeted application is Robotics, but ProxSuite can be used in other contexts without limits.

> fast: RL algorithms written in JAX using XLA to speed up differentiation calculations

Goal: fast trajectory optimization for systems with non-smooth dynamics.

- Build differentiable simulator based on pinocchio [6]
- ► Use randomized smoothing [7] with automatic noise scheduling
- Compare against state-of-the-art RL algorithms using xpag



Figure: Randomized smoothing applied to cart-pole system.



- robotics-oriented: customized for
- **goal-conditioned RL**, particularly useful for robotics

xpag is a modular and efficient reinforcement learning (RL) library.

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